

## CIA 2009 Certified Internal Auditor: Vorbereitung auf die Prüfung

jeweils in 4 Kursen: und bei Schweitzer Sortiment ständig im Regal

- Course 1: The Internal Audits Role in Governance, Risk, and Control
- Course 2: Internal Audit Engagement
- Course 3: Business Analysis
- Course 4: Business Management

### Irvin N. Gleim

Ph.D., CFM, CIA, CMA, CPA, Professor emeritus

#### 1 | Gleim CIA Review (Books) + Test Prep CD-ROMs

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- **Paket:** alle 4 Kurse ..... € 254,50
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##### ⇒ **Gleim CIA Test Prep for Pocket PC**

Inhalt: wie die Test Prep CD-ROMs

Technische Voraussetzungen

1. Mobile Device
  - Windows CE 3.0 oder höher, Windows Mobile 2003 oder höher, Pocket PC 2002 oder höher
  - Touchscreen
2. Computer Hardware
  - Processor: Pentium-class oder höher
  - Windows 2000/XP und ActiveSync 4 oder höher
  - Vista kompatibel
3. Notwendiger Speicherplatz
  - CIA Pocket PC: 0.5MB – CIA Part I: 1.2 MB
  - Part II: 2.2 MB – Part III: 2.4 MB – Part IV: 2.0 MB

⇒ **Gleim CIA Audio CDs:** 4 CDs per unit – Alternating narrators – Each Unit consists of one 20- to 45-minute overview for each of the 10 study units. The purpose of these overviews is to explain core concepts so you have a frame of reference for the details covered in the book, the Test Prep CD-ROM, and Gleim Online.

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- einzeln ..... je € **70,80**

**6 | Gleim CIA Online**

- **Paket:** alle 4 Kurse ..... € **409,00**
- einzeln ..... je € **125,00**

**Ergänzend zur Prüfung**

➔ *Reding, Internal Auditing: Seite 3*

Durch Kursschwankungen sind Abweichungen von den hier angegebenen Preisen möglich



**Hahn, Berufsprüfung Grundlagen für die berufliche Praxis der Internen Revision**

Poster im Format: A1 (840 x 594 mm) – gefaltet € **14,80**  
 Von **Dr. Ulrich Hahn**, CIA, CISA (IIR Deutsches Institut für Interne Revision e.V.), Frankfurt

**Sawyer/Dittenhofer, Sawyer's Internal Auditing: The Practice of Modern Internal Auditing**

5. Auflage 2003. 1.446 Seiten ..... gbd. € **125,00**  
 Die Autoren  
 - Lawrence B. Sawyer, JD, CIA, PA  
 - Mortimer A. Dittenhofer, PhD, CIA

➔ Introduction – techniques – scientific methods: reporting; administration; other matters relating to internal auditing

**The Institute of Internal Auditors**

➔ *Dauber, The Complete Guide to Auditing Standards 2009: Seite 4*

**The Institute of Internal Auditors: International Professional Practices Framework (IPPF)**

The IIA Research Foundation: 2009. 156 Seiten + CD-ROM  
 - Buch + CD-ROM ..... Spiralheftung € **75,00**  
 - CD-ROM ..... € **65,00**

**IPPF bei gleichzeitiger Bestellung eines Gleim-Pakets**

- Buch + CD-ROM ..... Spiralheftung € **60,00**  
 - CD-ROM ..... € **50,00**

➔ The IPPF includes mandatory and strongly recommended guidance:

- The official Definition of Internal Auditing.
- The IIA's Code of Ethics.
- New and revised International Standards for the Professional Practice of Internal Auditing with interpretations that enhance the understanding of current requirements.
- Revamped Practice Advisories that have been narrowed in scope.
- Position Papers that assist in understanding significant governance, risk, or control issues and in delineating the related roles and responsibilities of the internal audit profession.
- Practice Guides that provide practical tools and techniques and step-by-step approaches such as those presented in The IIA's Global Technology Audit Guides and Guides to the Assessment of IT Risk.

**Thomas, Essentials: Internal Auditing Operations Manual**

The IIA Research Foundation: 2007. CD-ROM ..... € **295,00**  
 Von Archie R. Thomas, CIA, CMA, FCMA, CFE: independent consultant, prior to this: chief audit executive at t Rio Algom Ltd. (one of Canada's most widely held public companies)

➔ Provides a compilation of sample resources, documents, reference materials, and ideas that can be used in whole, in part, or customized as input to developing a new internal audit function manual or updating an existing one. It also provides some model practices as guidance for internal auditors

➔ Divided into the following sections: The profession – Corporate operating policy – Internal audit policies and overview – Planning and performance – Resources, staffing, and development – Quality assurance – Administration – Reference material

**The Institute of Internal Auditors: Quality Assessment Manual**

The IIA Research Foundation: 6. Auflage 2009. 310 Seiten  
 - gedruckt: in Ordner ..... € **245,00**  
 - auf CD-ROM ..... € **195,00**

➔ In accordance with The IIA's Standard 1300, effective Jan. 1, 2009: „The chief audit executive must develop and maintain a quality assurance and improvement program that covers all aspects of the internal audit activity“ : Designed to provide guidance and tools for the chief audit executive (CAE) in establishing and maintaining a quality program, as well as for reviewers, internal and external, in te-

sting and assessing that program – Divided into chapters based on the principal elements of the quality program required by the Standards, with a continued strong emphasis on external assessments:

Chapter 1 gives the rationale for the manual, based primarily on the updated Standards and the ongoing evolution of internal auditing. – Chapter 2 outlines the external quality assessment, which should be the capstone of any activity's quality program. – Chapter 3 is designed to expand the external quality assessment process to a wider range of internal audit (IA) activities and provide another approach to comply with Standard 1312. – Chapter 4 sets forth the elements of an IA activity's internal quality assessment process, such as evaluation of conformity to the Standards; compliance with the charter, mission statement, objectives, and policy manual; supervision; staff development, and other steps to assess the activity's effectiveness and promote continuous improvement. – Chapter 5 is an overview of the program segments for quality assessment and other tools, with brief guidance for their use in external and internal assessments, as well as in self-assessments. Chapter 5 also serves as an overall index and control document for applying the program segments and tools.

**PricewaterhouseCoopers: Audit Committee Effectiveness: What Works Best**

The IIA Research Foundation: 3. Auflage 2005.

151 Seiten ..... kart. € **85,00**

Die Autorinnen: beide

- Catherine L. Bromilow, CPA, partner, leads the US Corporate Governance Group (PwC), New York
- Barbara L. Berlin, CPA, senior manager with the Corporate Governance Group (PwC), New York

➔ This research report has been prepared to help audit committee members effectively and thoughtfully discharge their duties. It provides direction on how best to carry out their responsibilities by providing numerous examples of how leading audit committees are not just coping, but succeeding. In short, it is your guide to what works best.

➔ Financial statements – Risk management and internal control – Compliance and ethics – Oversight of management and internal audit – Relationship with external auditors – Resources and special investigations – Committee composition – Training – Meetings – Charter and evaluation  
 Appendices: Self-assessment guide – Discussion guide for assessing performance – Establishing complaint processes – Project method – Selected bibliography and sources

**Raam/Morgan, Performance Auditing: A Measurement Approach**

The IIA Research Foundation: 2. A. 2009. 416 Seiten gbd. **120,00**

Die Autoren

- Ronell B. Raam, CGAP, CGFM, Government Audit Training Institute, Graduate School, in Washington, DC
- Stephen L. Morgan, CIA, CGAP, CFE, CGFM, former city auditor of Austin, Texas: was responsible for directing performance audits, fraud investigations, and consulting engagements for the City of Austin

➔ Presents original performance audit concepts and practice aids as well as those being applied in leading audit organizations. While the discussion is focused on performance audits in governmental environments, the content is applica-

ble to auditing in any private sector organization or public sector agency. – Emphasizes the use of measurement and comparison in performance assessment. It provides auditors with practical guidance for conducting performance audits in accordance with the Government Auditing Standards. It also includes guidance for passing the Certified Government Auditing Professional (CGAP) exam

➔ Contents: Classes of auditing and types of performance audits – Basics of performance auditing – The audit process – Auditing performance – and more

**Reding/Sobel/Anderson, Internal Auditing: Assurance and Consulting Services**

The IIA Research Foundation: 2007.

513 Seiten + CD-ROM ..... gbd. € **105,00**

Die Autoren

- Kurt F. Reding, Ph.D., CIA, CPA, CMA, Professor of Accounting, Friends University in Wichita, Kansas. He currently serves on The Institute of Internal Auditors' Board of Directors, North American Board, and Academic Relations Committee
  - Paul J. Sobel, CIA, CPA, Vice-President, Internal Audit (Mirant Corporation, an international energy company), Atlanta: has 25 years of auditing experience at four Fortune 500 companies and a major international public accounting firm
  - Urton L. Anderson, Ph.D., CIA, CCSA, CFSA, CGAP, Chair of the Department of Accounting, the McCombs School of Business, The University of Texas at Austin: Chair of the Internal Auditing Standards Board
- et.al.

➔ State-of-the-art textbook (Lehrbuch): Provides the fundamental knowledge needed to succeed as entry-level internal audit professionals – Reflects 21st century real-world auditing – Includes internal auditing theory, concepts, and applications: all intended to help users to begin to think like auditors – CD included in book contains ACL and IDEA software, and The IIA's International Standards for the Professional Practice of Internal Auditing and Code of Ethics.

**Kincaid/Sampias/Marcella, Certification in Control Self-Assessment (CCSA®): Examination Study Guide**

The IIA Research Foundation: 2004.

– als Buch: 242 Seiten ..... Spiralbindung € **60,00**

– auf CD-ROM ..... € **60,00**

Die Herausgeber

- James K. Kincaid, CIA, CGAP, CFSA: has conducted and managed a variety of performance and compliance audits during the past 19 years
- William J. Sampias, CISA, CFSA, Director of an Information Systems Audit group for a governmental audit agency
- Albert J. Marcella, Ph.D., CFSA, CISA, Associate Professor of Management in the School of Business and Technology, Webster University, Saint Louis, MO / President of Business Automation Consultants

➔ Information on/ domains for the CCSA examination – Additional review materials to supplement this guide – Preparing to pass the CCSA examination – Sample CCSA questions – Suggested solutions to sample CCSA questions

➔ Guide sections: CSA fundamentals – CSA program integration – Elements of the CSA process – Business objectives and organizational performance – Risk identification and as-

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essment – Control Theory and application

➔ Appendices: Questions, comments, or corrections concerning the CCSA Study Guide – The IIA's Standards for the international professional practice of internal auditing – CCSA application form – CCSA character reference form – CCSA work experience verification form – CCSA validation of facilitation form

**Kincaid/Sampias/Marcella, Certified Financial Services Auditor (CFSA®): Study Guide**

The IIA Research Foundation: 2005.

– als Buch: 791 Seiten in 2 Bänden ..... Spiralbindung € 60,00

– auf CD-ROM ..... € 60,00

Die Herausgeber

James K. Kincaid – William J. Sampias – Albert J. Marcella (s.o.)

➔ Information on / domains for the CFSA examination – Domains and disciplines matrix – Additional review materials to supplement this guide – Preparing to pass the CFSA examination – Sample CFSA questions + suggested solutions

➔ Guide sections: Financial services auditing – Auditing financial services products – Auditing financial services processes – The regulatory environment – Banking discipline – Insurance discipline – Securities discipline

➔ Appendices: Questions, comments, or corrections concerning the CFSA Study Guide – The IIA's Standards for the international professional practice of internal auditing – CCSA application form – CFSA character reference form – CFSA internal audit experience verification form

**Kincaid/Sampias/Marcella, Certified Government Auditing Professional (CGAP®) Examination Study Guide**

The IIA Research Foundation: 3. Auflage 2005.

– als Buch: 313 Seiten ..... Spiralbindung € 60,00

– auf CD-ROM ..... € 60,00

Die Herausgeber

James K. Kincaid – William J. Sampias – Albert J. Marcella (s.o.)

➔ Information on / domains for the CGAP examination – Additional review materials to supplement this guide – Preparing to pass the CGAP examination – Sample CGAP questions – Suggested solutions to sample CGAP questions

➔ Guide sections: Standards, governance, and risk/control framework – Government auditing practice – Government auditing methodologies and skills – Government auditing environment

➔ Appendices: Questions, comments, or corrections concerning the CGAP Study Guide – The IIA's Standards for the international professional practice of internal auditing – CGAP examination application form – CGAP character reference form – CGAP work experience verification

– Neul Rodda, Senior Lecturer, Manchester Metropolitan University Business School, assistant manager with KPMG

➔ Offers a through coverage of the practice of auditing in context of auditing theory and concepts, and the professional responsibilities of the independent auditor. The structure of the book develops the auditing process in a logical and sequential manner, enabling students to progressively consolidate their understanding of the concepts and processes without excessive detail or repetition.

**Dauber/Qureshi/Siegel, Wiley The Complete Guide to Auditing Standards, and Other Professional Standards for Accountants 2009**

Wiley: 2009. 550 Seiten ..... kart. € 82,90

Die Autoren

– Nick A. Dauber, MS, CPA, Instructor of auditing and tax at Queens College, City University of New York

– Anique Ahmed Qureshi, PhD, CPA, CIA, professor of accounting and information systems, Queens College

– Joel G. Siegel, PhD, CPA, is the author of over fifty books and has written hundreds of articles for financial journals

➔ For easy reference and daily use, filled with charts, checklists, diagrams, report forms, schedules, tables, exhibits, examples, practice aids, and step-by-step instructions for your maximum ease of use:

– Practical applications to implement audit standards and requirements

– Coverage of AICPA Statements of Auditing Standards (SAS), Statements on Standards for Attestation Engagements (SSAE), Statements on Standards for Accounting and Review Services (SSARS), Public Company Accounting Oversight Board (PCAOB) standards, responsibilities in tax practices, quality control, litigation support services, business valuation services and consulting services standards

– Hands-on answers to practice issues, avoiding technical jargon

**Ridley, Cutting Edge Internal Auditing**

Wiley: 2008. 486 Seiten ..... gbd. € 47,90

Von Jeffrey Ridley, CIA, former appointed internal audit manager (Kodak, GB), after retirement visiting Professor of auditing, London South Bank University – teaching corporate governance

➔ Explores the concept of 'cutting edge' internal auditing as an imaginative adventure: demonstrating how this has influenced and will continue to influence the development of professionalism in internal auditing: Develops and brings up to date an imaginative internal auditing model, created and used by the author in the early 1980s, drawing on research and guidance by The Institute of Internal Auditors Inc., its Research Foundation and the Institute of Internal Auditors – UK and Ireland.

Each chapter stands alone by focusing on an individual internal auditing theme, considered from both the perspective of internal auditing and its customers to suggest an appropriate vision as a goal for every internal audit activity. Each chapter also includes self-assessment questions to challenge the readers understanding of its messages.

**CD ROM:** some of the author's training slides and 70 case studies, many written by leading internal audit practitioners

**Konzepte und Erläuterungen**

**Cosserat/Rodda, Modern Auditing**

Wiley, 2008. 736 Seiten ..... kart. € 44,90

Die Autoren

– Graham Cosserat, Qualified accountant with over 30 years' experience in teaching auditing

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	<b>Hahn, Berufsgrundlagen für die berufliche Praxis der Internen Revision 2009</b>	Poster	€ 14,80
	<b>Sawyer's Internal Auditing</b>	978-0-894-13509-5	€ 125,00 *)
	<b>International Professional Practices Framework (IPPF): Buch + CD-ROM</b>	978-0-89413-639-9	€ 75,00 *)
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## Konzepte und Erläuterungen

Cosserat, Modern Auditing	978-0-470-31973-4	€ 44,90 *)
Dauber, Wiley The Complete Guide to Auditing Standards, and Other Professional Standards for Accountants 2008	978-0-470-18397-7	€ 82,90 *)
Ridley, Cutting Edge Internal Auditing	978-0-470-51039-1	€ 47,90 *)

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